

## Water Resources Research

### RESEARCH ARTICLE

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#### Key Points:

- Drone information on riverbed topography and hydraulic head data provide useful information for the simulation of river-aquifer systems
- Parameter estimation via data assimilation allows better estimation of hydraulic heads and slightly better estimation of river discharge

#### Supporting Information:

- Supporting Information S1
- Data Set S1
- Data Set S2
- Data Set S3
- Data Set S4
- Data Set S5
- Data Set S6
- Data Set S7
- Data Set S8

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## Simulating Flood-Induced Riverbed Transience Using Unmanned Aerial Vehicles, Physically Based Hydrological Modeling, and the Ensemble Kalman Filter

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**Abstract** Flood events can change the riverbed topography as well as the riverbed texture and structure, which in turn can influence the riverbed hydraulic conductivity ( $K_{rb}$ ) and river-aquifer exchange fluxes. A major flood event occurred in the Emme River in Switzerland in 2014, with major implications for the riverbed structure. The event was simulated with the fully integrated hydrological model HydroGeoSphere. The aim was to investigate the effect of the spatial and temporal variability of riverbed topography and  $K_{rb}$  on predictions of hydraulic states and fluxes and to test whether data assimilation (DA) based on the ensemble Kalman filter (EnKF) can better reproduce flood-induced changes to hydraulic states and parameters with the help of riverbed topography changes recorded with an unmanned aerial vehicle (UAV) and through-water photogrammetry. The performance of DA was assessed by evaluating the reproduction of the hydraulic states for the year 2015. While the prediction of surface water discharge was not affected much by the changes in riverbed topography and in  $K_{rb}$ , using the UAV-derived postflood instead of the preflood riverbed topography reduced the root-mean-square error of predicted heads (RMSE [ $h$ ]) by 24%. If, in addition to using the postflood riverbed topography, also  $K_{rb}$  and aquifer hydraulic conductivity ( $K_{aq}$ ) were updated through DA after the flood, the RMSE ( $h$ ) was reduced by 55%. We demonstrate how updating of  $K_{rb}$  and  $K_{aq}$  based on EnKF and UAV-based observations of riverbed topography transience after a major flood event strongly improve predictions of postflood hydraulic states.

### 1. Introduction

The riverbed plays a key role for modeling river-aquifer interactions (e.g., Brunner et al., 2017; Mattle et al., 2001; Partington et al., 2017; Schilling, Gerber, et al., 2017). When a riverbed is considered within a hydrological flow model, both riverbed topography and the riverbed hydraulic conductivity ( $K_{rb}$ ) are critical variables to be quantified. Riverbed topography constitutes a key control for surface water-groundwater (SW-GW) exchange fluxes (Cardenas, 2009; Harvey & Bencala, 1993; Hester & Doyle, 2008; Kasahara & Wondzell, 2003; Shope et al., 2012; Tonina & Buffington, 2007; Woessner, 2000; J. Zhang et al., 2017). It is also important for numerous biogeochemical processes in the hyporheic zone (Boano et al., 2010; Harvey et al., 2012; Marzadri et al., 2014; Wildhaber et al., 2014). Boano et al. (2010) found that nutrient concentrations and organic carbon degradation were strongly influenced by the streambed morphology. Nitrous oxide emissions from rivers were highly related to the streambed geomorphologic characteristics and sediment properties (Marzadri et al., 2014). Wildhaber et al. (2014) found that fine sediment and streambed morphology also affected oxygen and water exchange.  $K_{rb}$  also plays an important role in the dynamics of exchange fluxes and solute transport processes between the river and the surrounding GW system (e.g., Cardenas et al., 2004; Irvine et al., 2012; Kalbus et al., 2009; Käser et al., 2009; Lackey et al., 2015; Miller et al., 2016; Ryan & Boufadel, 2006; Song et al., 2007; Song et al., 2016; Woessner, 2000).

Riverbed topography, as well as its texture and materials, may change in time and space due to erosion and deposition processes across the river-aquifer interface (e.g., Birck, 2006; Doppler et al., 2007; Mutiti & Levy, 2010; Schubert, 2006; Simpson & Meixner, 2012). This also causes changes to  $K_{rb}$  and thus to SW-GW fluxes (Doppler et al., 2007; Grischek & Bartak, 2016; Nowinski et al., 2011; Ulrich et al., 2015). Both measurements from field and laboratory experiments as well as results from numerical flow models provide evidence of this: Birck (2006) explored the impact of high river stages on scouring of the riverbed and the corresponding changes of  $K_{rb}$  along the Great Miami River at Charles M. Bolton Water Plant in southwest Ohio, USA. A scouring of up to 0.06 m and a total fluctuation of 0.17 m were detected during the flood in January 2005. However, the contribution of scouring to the variation of overall  $K_{rb}$  was minimal. Schubert (2006) observed a highly dynamic clogging process and a significant temporal variation in the permeability of the clogged layer with rising and lowering water level in the lower Rhine region, Germany. Doppler et al. (2007) provided field evidence of temporally varying leakage coefficients playing an important role when simulating GW flow for the upper Limmat valley in Zürich, Switzerland. The GW flow model needed to be recalibrated after a flood event, as the infiltration rate from the river into the aquifer was increased due to the flood-induced erosion and the corresponding increase in  $K_{rb}$ . Mutiti and Levy (2010) investigated the temporal evolution of  $K_{rb}$  during a storm event beginning on 12 June 2005 at Charles M. Bolton Water Plant in southwest Ohio, USA. GW flow and heat transport were simulated with the model VS2DHI (Hsieh et al., 2000). An increase of  $K_{rb}$  by almost 1 magnitude was observed due to the loss of fine materials during the scouring process. Nowinski et al. (2011) observed temporally varying  $K_{rb}$  on a riverbed point bar at the meander scale throughout the period of 1 year; originally high  $K_{rb}$  decreased due to the accumulation of fine materials transported by the river. Simpson and Meixner (2012) used a series of SW and GW flow models to simulate a synthetic flood event and its effect on  $K_{rb}$  and SW-GW interactions. The total amount of scouring and filling was 12 and 16 mm, respectively, relatively small compared to the flood flow rate. Ulrich et al. (2015) investigated the spatiotemporal variability of riverbed permeability and the mechanisms behind it by monitoring the clogging process with different methods at the Russian River near Forestville, California, from May to November in 2012. A decrease of permeability was observed from the onset of September due to the formation and accumulation of biomass on the riverbed. Gianni et al. (2016) developed a method to identify changes in the hydraulic properties of riverbeds by analyzing head changes in the river and a nearby piezometer. The method was applied to the Rhone river, and changes of 2 orders of magnitude were identified. Grischek and Bartak (2016) reviewed and documented the temporal variation of the ratio between  $K_{rb}$  and clogging layer thickness from 1971 to 2015 for the Elbe River in Germany. The results from the field tests showed that the leakage coefficient increased by a factor of 1.3 to 3.3 during the observed period.

The findings of the reviewed studies make it evident that it is important to characterize the spatiotemporal variation of  $K_{rb}$  and riverbed topography induced by a flood, as well as the corresponding dynamic influence on the hydraulic states and fluxes of the river-aquifer system. However, transient changes in  $K_{rb}$  and riverbed topography are rarely observed and considered in hydrological models, and studies focusing on riverbed transience had until now either a focus on data collection or were based on synthetic studies. Real-world modeling studies, in which the riverbed is explicitly considered, treat riverbed topography and  $K_{rb}$  in general as static properties, with the exception of a very small number of studies (Kurtz et al., 2012; Mutiti & Levy, 2010; Y. Zhang et al., 2011).

Where flood-induced changes were not observed directly, indirect methods such as inverse modeling may provide a solution to estimate the changes to riverbed topography and structure retrospectively. However, it has not been shown for a real-world case whether such an approach is able to reproduce transience in riverbed properties and improve simulation results by taking this transience into account. Data assimilation (DA) is one possible inverse modeling method for this purpose (Chen & Zhang, 2006; Hendricks Franssen & Kinzelbach, 2008). Until now DA has already been successfully applied for estimating  $K_{rb}$  in several cases (e.g., Alzraiee et al., 2017; Hendricks Franssen et al., 2011; Kurtz et al., 2012; Kurtz et al., 2013; Kurtz et al., 2014; Tang et al., 2015; Tang et al., 2017). Hendricks Franssen et al. (2011) estimated leakage coefficients for a limited number of zones together with aquifer hydraulic conductivity ( $K_{aq}$ ), using a 3-D variably saturated GW flow model of the Limmat valley, Switzerland. The prediction of hydraulic heads was improved, if both parameters were updated using the ensemble Kalman filter (EnKF). Kurtz et al. (2012) successfully captured the spatial and temporal variation of  $K_{rb}$  for a synthetic 3-D river-aquifer model with joint updating of piezometer heads and  $K_{rb}$  by EnKF. Later, the importance of  $K_{rb}$  spatial

heterogeneity in the Limmat valley river-aquifer system was studied by Kurtz et al. (2013). The heterogeneous  $K_{rb}$  as well as the piezometric heads can be even better estimated when both GW temperature data and piezometric heads are assimilated (Kurtz et al., 2014). Tang et al. (2015) investigated the impact of different  $K_{rb}$  patterns on the estimation of hydraulic heads,  $K_{rb}$ , and river-aquifer exchange fluxes for a synthetic experiment with a 3-D conductance based GW model. The SW heads are predefined as fixed head boundary and exchange fluxes between the river and the aquifer are calculated according to Darcy's law. Using this kind of flow model approach, it was found that complex  $K_{rb}$  patterns did not have a significant influence on the characterization of river-aquifer exchange fluxes. This was further explored by Tang et al. (2017) using a physically based, fully integrated SW-GW model able to simulate variably saturated conditions. Under fully saturated conditions, results were consistent with those shown in Tang et al. (2015); under variably saturated conditions, however, the histogram of  $K_{rb}$  provided useful additional information for the characterization of net river-aquifer exchange fluxes, suggesting that the pattern of  $K_{rb}$  is nevertheless important. Alzraiee et al. (2017) successfully estimated spatially varying  $K_{rb}$  and  $K_{aq}$  by assimilating GW-level measurements using the ensemble smoother. The study included a verification of the estimated parameter distributions, for both a synthetic experiment and a real-world system. The verification was based on simulated GW levels, streamflow rates, and flow exchange between the stream and the aquifer.

Whether riverbed topography and  $K$  variations during and after a flood event can be reproduced from hydraulic head data by an inverse modeling approach is still unknown. Moreover, the importance of riverbed topography and  $K_{rb}$  variations for the prediction of hydraulic heads and of fluxes between the river and the aquifer is also still unknown. We therefore aim at answering these questions with a combination of (1) observations of riverbed topography and structure changes induced by a major flood event using unmanned aerial vehicles (UAVs), (2) fully integrated and physically based flow modeling, and (3) DA. With the integration of these state-of-the-art techniques, we characterize the spatially and temporally varying riverbed properties induced by a major flood event and analyze their impacts on the prediction of hydraulic heads, SW discharge, and river-aquifer exchange fluxes. A 3-D river-aquifer flow model of the Emme valley (Switzerland) was set up for this purpose, using the physically based, fully integrated SW-GW flow model HydroGeoSphere (HGS). With this 3-D river-aquifer flow model, the hydraulic response to a major flood event was simulated. Two high-resolution data sets of riverbed topography obtained using an UAV before and one after the flood event were used to define the riverbed topography in the model. To our knowledge, this is one of the first works where flood-related changes in riverbed topography are detected by UAV before and after a flood, and this information is used in a modeling context. Ensembles of different heterogeneous  $K_{aq}$  and  $K_{rb}$  fields were generated using sequential Gaussian simulations and served as input for the hydrological flow model. DA experiments were carried out using the EnKF. The DA experiments were designed to systematically answer the following questions:

1. Assuming that only information on the riverbed topography is available for the pre-flood and post-flood period but no hydraulic information: Does a change in riverbed topography have an influence on hydraulic heads, SW discharge, and river-aquifer exchange fluxes, and is it important to consider this change in hydrological modeling?
2. Assuming that measurements of hydraulic heads are available for the pre-flood and post-flood period but no information on the post-flood riverbed topography: Can DA of the hydraulic head measurements of the pre-flood period allow calibrating the aquifer and  $K_{rb}$  sufficiently well so that the hydraulic heads and river discharge of the post-flood period can be reproduced? Moreover, if  $K_{aq}$  and  $K_{rb}$  are continuously updated through DA, but the riverbed topography remains unchanged, can the parameters update through DA compensate for the missing riverbed topography and produce as good results as when the correct (post-flood) riverbed topography would be used?
3. Assuming that both hydraulic head measurements and riverbed topography information are available for the pre-flood and post-flood period: How fast can DA based on EnKF adapt  $K_{aq}$  and  $K_{rb}$  using information of hydraulic heads and riverbed topography?
4. Does the correct estimation of  $K_{aq}$  or the correct estimation of  $K_{rb}$  play a more important role for the simulation of exchange fluxes? How does this change, if information on the flood-induced changes to the riverbed topography is available?

## 2. Materials and Methods

### 2.1. Integrated Hydrological Model HGS

HGS (Aquanty Inc, 2016; Brunner & Simmons, 2012; Therrien et al., 2010) is a physically based, fully integrated hydrological model designed for accounting for all components in the water cycle. The SW and GW flow equations are solved simultaneously. HGS has been used for the simulation of many different hydrological systems, for example, for the interactions between GW, SW and vegetation (e.g., Ala-aho et al., 2017; Banks et al., 2011; Schilling et al., 2014; Schomburg et al., 2018), for the detection of the sensitivity of catchment scale dynamics to the different model parameters (Cornelissen et al., 2016), to track catchment scale SW levels and overland flow routing (Ameli & Creed, 2017), to explore the hydrological dynamics of wetlands (Liu et al., 2016) and microtopographic wetland runoff (Frei et al., 2010), and for large-scale solute transport (Blessent et al., 2011). In a few instances HGS was calibrated against different types of data (e.g., Brunner et al., 2012; Karan et al., 2014; Schilling et al., 2014; Schilling, Gerber, et al., 2017) using the automatic inverse code PEST (Doherty, 2015). Recently, HGS has been coupled to a sequential DA routine (EnKF-HGS) by Kurtz et al. (2017).

SW flow is described by the two-dimensional Saint Venant equation:

$$\frac{\partial \varphi_0 h_0}{\partial t} = -\nabla \cdot d_0 \mathbf{q}_0 - d_0 \Gamma \pm Q_0 \quad (1)$$

where  $\varphi_0$  is the surface flow domain porosity (–),  $h_0$  is the SW elevation (L),  $d_0$  is the SW depth (L),  $\Gamma$  is the exchange flow rate ( $L^3 \cdot L^{-2} \cdot T^{-1}$ ) between the surface domain and the subsurface domain,  $Q_0$  is external sources and sinks (L/T), and  $\mathbf{q}_0$  is the fluid flux (L/T) given by

$$\mathbf{q}_0 = -\mathbf{K}_0 \cdot k_{r0} \nabla (d_0 + z) \quad (2)$$

where  $\mathbf{K}_0$  is surface conductance (L/T) of the Manning's equation and  $k_{r0}$  accounts for the for the additional resistance in horizontal conductance caused by obstruction storage, varying between 0 and 1.

GW flow is implemented by the three-dimensional Richards' equation:

$$\frac{\partial}{\partial t} (\theta_s s_w) = -\nabla \cdot \mathbf{q} + \Gamma \pm Q \quad (3)$$

where  $\theta_s$  is the saturated soil water content (–),  $s_w$  is the degree of water saturation (–),  $\mathbf{q}$  is the Darcy flux (L/T),  $\Gamma$  is the volumetric fluid exchange rate ( $L^3 \cdot L^{-2} \cdot T^{-1}$ ) between the surface domain and the subsurface domain, and  $Q$  are sinks and sources ( $L^3 \cdot L^{-2} \cdot T^{-1}$ ). The dual-node approach is used for the flow coupling between the surface and the subsurface domain (see de Rooij, 2017). Van Genuchten (1980) functions are used to describe the relationship between the hydraulic conductivity of the porous medium, the soil water content, and pressure.

### 2.2. EnKF

DA is done using the EnKF in this study (Evensen, 1994). EnKF was originally developed for the estimation of system states and was later extended for the estimation of parameters (Chen & Zhang, 2006; Hendricks Franssen & Kinzelbach, 2008). Parameter estimates are successful only if a strong correlation exists between the assimilated system-response variables and the parameters. The following description contains the EnKF algorithm as it is coupled to HGS:

EnKF consists of three basic equations: the forecast equation, the observation equation, and the updating equation. Being a Monte Carlo based inverse modeling method, EnKF uses a (large) number of stochastic realizations of model states and parameters to represent the model uncertainties. The augmented state vector includes both the model states and model parameters. For each stochastic realization, the augmented state vector can be written as

$$\mathbf{x}_i = \begin{pmatrix} \mathbf{x}_s \\ \mathbf{x}_p \end{pmatrix}_i \quad (4)$$

where  $\mathbf{x}_s$  is the vector with model states and  $\mathbf{x}_p$  is the vector of model parameters. The subscript  $i$  is the realization number. In this study, the augmented state vector contains one type of state variable (hydraulic head) and one type of model parameter (log-transformed hydraulic conductivity):

$$\mathbf{x}_i = \begin{pmatrix} \mathbf{h} \\ \log_{10}(\mathbf{K}) \end{pmatrix}_i \quad (5)$$

where  $\mathbf{h}$  is hydraulic head and  $\mathbf{K}$  hydraulic conductivity. Here  $\mathbf{K}$  can include  $K_{rb}$ ,  $K_{aq}$ , or both, depending on the assimilation scenario.

The model states at the current time step are predicted from the previous time step using HGS according to the forecast equation:

$$\mathbf{x}_{t,j} = M(\mathbf{x}_{t-1,j}) \quad (6)$$

where  $M$  is the forward hydrological model and the subscript  $t$  represents the time step.

The observations available at time step  $t$  are perturbed according to the observation equation:

$$\mathbf{y}_{t,j} = \mathbf{y}_t + \boldsymbol{\varepsilon}_{t,j} \quad (7)$$

where  $\mathbf{y}_{t,j}$  is the vector of perturbed measurements,  $\mathbf{y}_t$  is the vector with original measurements at time step  $t$ , and  $\boldsymbol{\varepsilon}_{t,j}$  is the vector with observation errors usually generated from a normal distribution with 0 mean and standard deviation equal to the measurement error.

Combining the model prediction with the observations, the state vector is updated according to the following updating equation:

$$\mathbf{x}_{t,j}^a = \mathbf{x}_{t,j}^f + \alpha \mathbf{G} (\mathbf{y}_{t,j} - \mathbf{H} \mathbf{x}_{t,j}^f) \quad (8)$$

where  $\mathbf{x}_{t,j}^a$  is the augmented state vector containing the updated model states and parameters,  $\mathbf{x}_{t,j}^f$  is the vector with the forecasted states obtained from the hydrological model,  $\alpha$  is a damping factor varying between 0 and 1 (in this study  $\alpha$  is 0.1 for parameters and 1 for states),  $\mathbf{H}$  is the measurement operator matrix mapping the simulated states to the observation locations, and  $\mathbf{G}$  is the Kalman gain, which weights the relative importance of the model forecasts and the observations. The Kalman gain is calculated by

$$\mathbf{G} = \mathbf{C} \mathbf{H}^T (\mathbf{H} \mathbf{C} \mathbf{H}^T + \mathbf{R})^{-1} \quad (9)$$

where  $\mathbf{R}$  is a diagonal covariance matrix representing the measurement errors at individual observation locations (this matrix is in this study a priori defined based on knowledge about the precision of instruments) and  $\mathbf{C}$  is the covariance matrix of the model states and parameters, given by

$$\mathbf{C} = \begin{pmatrix} \mathbf{C}_{\mathbf{h},\mathbf{h}} & \mathbf{C}_{\mathbf{h},\log_{10}\mathbf{K}} \\ \mathbf{C}_{\log_{10}\mathbf{K},\mathbf{h}} & \mathbf{C}_{\log_{10}\mathbf{K},\log_{10}\mathbf{K}} \end{pmatrix} \quad (10)$$

where the submatrix  $\mathbf{C}_{\mathbf{h},\log_{10}\mathbf{K}}$  is calculated by

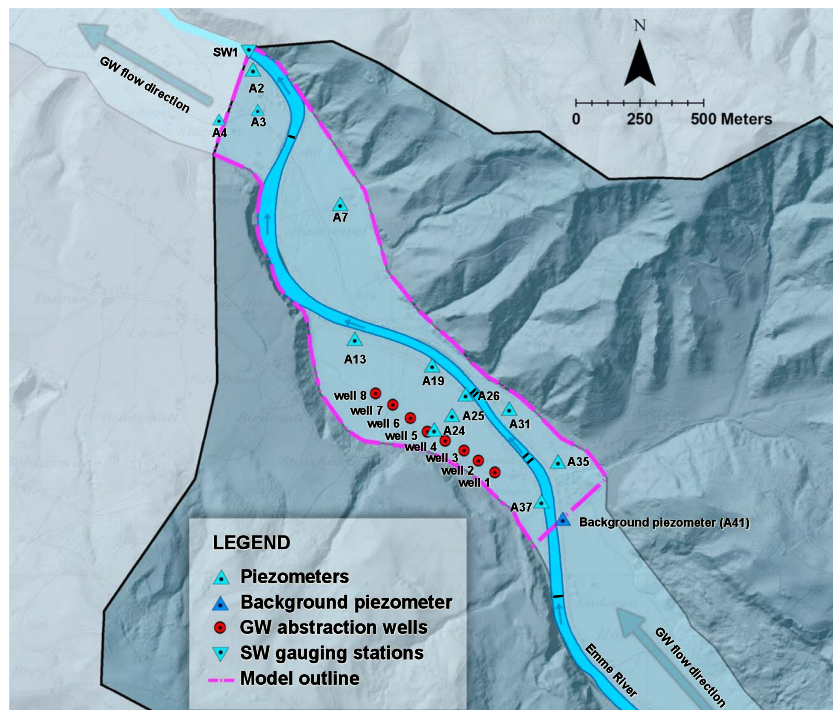
$$\mathbf{C}_{\mathbf{h},\log_{10}\mathbf{K}} = \frac{1}{N-1} \sum_{i=1}^N (x_{i,\mathbf{h}} - \bar{x}_{i,\mathbf{h}}) (x_{i,\log_{10}\mathbf{K}} - \bar{x}_{i,\log_{10}\mathbf{K}}) \quad (11)$$

and the overbar indicates ensemble mean.

Once the updating step is done, the updated model states and parameters will be used as input for the hydrological model for the next computation time step. Each time when observations are available, equations (4)–(7) are applied. For more technical aspects of the coupling between HGS and EnKF see Kurtz et al. (2017).

### 2.3. Description of the Study Site

The Upper Emme catchment in the Northern prealps of Switzerland is home to one of the largest drinking water stations of the Swiss capital Bern (Blau & Muchenberger, 1997; Käser & Hunkeler, 2016; Schilling, Irvine, et al., 2017). The Emme River, which is the primary source of recharge for the alluvial aquifer of the Upper Emme catchment, has an average annual discharge of 4.4 m<sup>3</sup>/s and is characterized by highly



**Figure 1.** Location of the measurement stations of hydraulic heads and surface water discharge within the study site.

dynamic discharge behavior (Käser & Hunkeler, 2016). The drinking water station is situated on the valley bottom toward the outlet of the catchment at an elevation of 690 m above sea level (Figure 1). At that location, the topographic gradient is approximately 0.9% (Käser & Hunkeler, 2016). A total rate of  $0.4 \text{ m}^3/\text{s}$  of GW is pumped from eight wells located on a wellfield in the ultimate vicinity of the Emme River.

The unconfined alluvial aquifer, out of which the GW is pumped, is composed of highly permeable quaternary alluvial gravel (~80%) and sand (~20%) with an average hydraulic conductivity of around  $4 \times 10^{-3} \text{ m/s}$  and is limited below by an impermeable layer of freshwater molasses (Würsten, 1991). The average thickness of the aquifer around the drinking water station is 25 m (Würsten, 1991). GW is abstracted from a depth of 10 m by the three upstream wells (wells 1–3) and from a depth of 15 m by the five downstream wells (wells 4–8) (see Figure 1). An extensive monitoring network (see Figure 1) to manage the drinking water abstraction exists (Blau & Muchenberger, 1997; Kropf et al., 2014; Lapin et al., 2014).

Multiple studies have been carried out in order to better characterize and quantify the river-aquifer interactions: Figura et al. (2013, 2015) characterized and predicted the evolution of GW temperature at the drinking water wellfield based on future climate scenarios, indicating an increasing trend. Käser and Hunkeler (2016) used temperature and electrical conductivity measurements in the Emme River to show that directly upstream of the wellfield river water is mostly infiltrating into the subsurface, whereas due to a narrowing of the aquifer downstream of the wellfield GW is exfiltrating back into the stream. Two weirs located in the Emme River at the height of the wellfield are also influencing SW-GW interactions, producing locally losing conditions upstream and locally gaining conditions downstream of the weirs. Multiple studies show that the pumping rates strongly influence SW-GW interactions around the drinking water wellfield, and this can pose a major threat both to drinking water quality as well as to the riparian ecosystem health (Blau & Muchenberger, 1997; Schilling, Irvine, et al., 2017). Schilling, Irvine, et al. (2017) carried out a multitracer study and found that the GW pumped at the drinking water wellfield contains approximately 50% older GW and 50% SW that infiltrated directly upstream of the wellfield. They showed that the key parameter controlling the SW-GW interactions of this system, and therefore mixing between recently infiltrated SW and older GW in the subsurface, is the  $K_{rb}$ . Unfortunately, the  $K_{rb}$  around the wellfield is very variable and poorly known, but the mean is estimated to be approximately 2 orders of magnitude lower than the hydraulic conductivity of the aquifer (Schilling, Irvine, et al., 2017).

#### 2.4. UAV-Based Riverbed Topography Observations

A violent flood with a return period of only 300 years and a peak discharge of 350 m<sup>3</sup>/s occurred on 24 July 2014 and substantially altered the riverbed topography and most likely also riverbed properties. Owing to the recent advances in UAV technology, observations of multiple aspects of rivers (e.g., riverbed topography changes and SW temperature) can now be carried out in high resolution and at comparably low costs (e.g., Feurer et al., 2008; Pai et al., 2017). The riverbed topography was recorded in high resolution (i.e., 0.25-m horizontal, 0.05-m vertical resolution) both before the flood (on 12 February 2014) and after the flood (on 20 March 2015). The riverbed topography was obtained with through-water photogrammetry of remotely sensed images taken from different angles. The method was reviewed in detail by Feurer et al. (2008). A high-resolution digital elevation model (DEM) of the floodplain was available from Swisstopo (2010). Based on the two riverbed DEMs and the floodplain DEM, two DEMs of the study area around the drinking water wellfield, representing the topography before and after the flood, were generated (Figure 2). Particularly in the meander downstream of the wellfield, the average depth was reduced by the flood, and scouring moved the deep preflood channel toward the outside of the meander, whereas depositions on the inside of the meander resulted in a new gravel bank (see highlighted area of Figure 2).

### 3. The River-Aquifer Flow Model

#### 3.1. Model Setup

##### 3.1.1. Model discretization

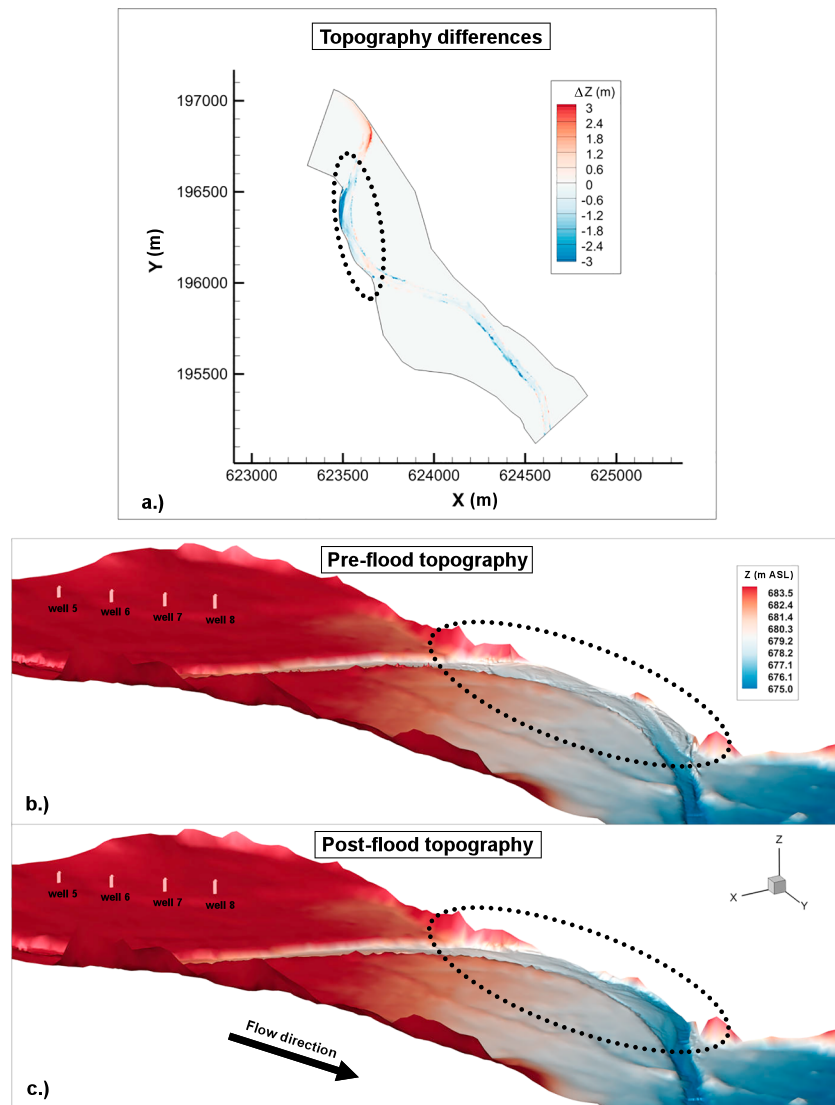
The numerical model used for this study has been described in detail by Schilling, Irvine, et al. (2017): Vertically, the model is divided into 15 layers, and proportional sublayering is used: the top 5 layers cover each 0.61%, the following 4 layers each 6.1%, and the bottom 5 layers each 12% of the total aquifer depth, which results in 0.28-, 2.8-, and 5.5-m-thick layers at the location where the aquifer has the largest vertical extent (46 m). Horizontally, the model is discretized by equilateral triangles of 17.5-m-side length on the floodplain and 8.5-m-side length within the Emme River, resulting in 10,983 elements per layer, that is, 5,645 nodes per slice. The model outline is illustrated in Figure 1. The automatic time-stepping scheme implemented in HGS was used.

##### 3.1.2. Model Parameterization

The riverbed was conceptualized as a slightly clogged layer within the Emme River boundaries, spanning across the four topmost model sub layers. An average  $K_{rb}$  value of  $2.8 \times 10^{-5}$  m/s was found to best reproduce the observed mixing ratios between older GW and freshly infiltrated SW in the wellfield (Schilling, Irvine, et al., 2017). For the aquifer, a homogeneous value of  $2.9 \times 10^{-3}$  m/s, which is about 2 magnitudes higher than the riverbed, is used to represent the  $K_{aq}$ . These mean  $K_{rb}$  and  $K_{aq}$  values were used as starting points for the generation of spatially distributed  $K_{rb}$  and  $K_{aq}$  fields used later in the DA experiments (described below in the section 3.2). The porosity of the aquifer layers was set to 0.15, representing a typical value of gravel-sand aquifers (Anderson et al., 2015; Fetter, 2000), and 0.41 for the riverbed layers. The unsaturated van Genuchten parameters  $\alpha$  and  $\beta$  were set to 3.48/m and 1.75, respectively, representing typical values for gravel-sand aquifers according to Li et al. (2008). The residual saturation ( $S_{wr}$ ) was fixed at 0.05. The coupling length ( $l_{exch}$ ) between the SW and the GW domain was set to a very small value of 0.001 m, representing an optimal compromise between head continuity and numerical stability (see de Rooij, 2017).

##### 3.1.3. Boundary Conditions

The daily changing transient boundary conditions were based on measurement time series aggregated to daily values. Precipitation was conceptualized as a second type, specified flux boundary condition, and input time series of precipitation were obtained from the nearby weather station in Langnau, that is, operated by MeteoSuisse ([lat/long]: 07°48.33'/46°56.38', elevation [meter above sea level]: 745). Evapotranspiration was addressed by correcting the precipitation input time series with the actual evapotranspiration rate, calculated after Spreafico and Weingartner (2005), and using solar radiation and air temperature measurements of the same weather station as input. The Emme River inflow was also conceptualized as a specified flux boundary condition, and discharge input time series were obtained from two measurement stations located 5 km upstream of the upstream model boundary. The SW outflow boundary was conceptualized as a critical depth boundary condition. On the upstream boundary of the model, GW inflow was implemented as a first type, constant head boundary condition using measured GW levels from the piezometer located directly on the upstream model boundary (A41; see Figure 1). The prescribed hydraulic head underneath the riverbed

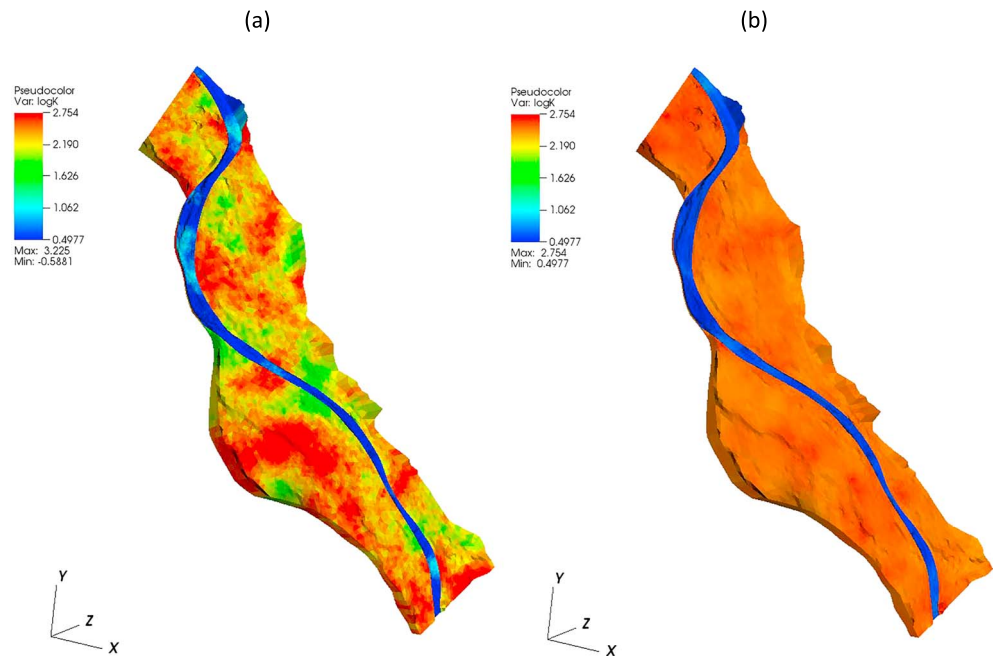


**Figure 2.** Illustration of the elevation differences between (a) pre-flood and post-flood topography, as well as 3-D illustrations of the (b) pre-flood and the (c) post-flood topographies. The meander section in which the largest changes to the riverbed topography occurred during the flood is highlighted by a dashed ellipse. Coordinates in (a) correspond to the Swiss coordinate system CH1903+/LV95.

along the upstream boundary of the model was fixed to 1 m above the hydraulic head measured in piezometer A41. This allowed accounting for mounding due to losing conditions underneath the river. For the downstream part, a constant head boundary condition was implemented and GW levels measured in a piezometer on the downstream model boundary (A3; see Figure 1) were used. The lateral model boundaries and the bottom of the model were set impermeable according to Schilling, Irvine, et al. (2017). The GW pumps of the drinking water station were implemented as single-node specified flux boundary conditions at the corresponding depth of each well. GW abstraction time series were provided by the waterworks association of the region of Bern (WVRB).

### 3.2. Hydraulic Conductivity Fields

Spatially heterogeneous  $K_{aq}$  and  $K_{rb}$  fields were used as input for the open loop (OL) and DA experiments. As Tang et al. (2017) demonstrated, multi-Gaussian distributed  $K$  fields provide good results for fully connected river-aquifer systems, even if  $K_{rb}$  shows non-multi-Gaussian patterns, and non-multi Gaussian  $K$  fields are not



**Figure 3.** (a) One stochastic realization of the initial  $K_{aq}$  and  $K_{rb}$  field. (b) The ensemble mean of the initial  $K_{aq}$  and  $K_{rb}$  fields, calculated over 128 realizations.

required. We therefore used a multi-Gaussian geostatistical model for the generation of both random  $K_{rb}$  and  $K_{aq}$  fields. The generated random  $K_{rb}$  and  $K_{aq}$  fields served as the initial parameter fields for the DA experiments. Figure 3 shows the initial ensemble mean as well as one stochastic realization of the random  $K_{rb}$  and  $K_{aq}$  fields.

For the aquifer, 128 stochastic realizations of multi-Gaussian distributed random  $K_{aq}$  fields were generated with the sequential Gaussian simulation algorithm in SGeMS (Remy et al., 2009). The geostatistical variogram parameters used for generating the  $K_{aq}$  fields are listed in Table 1. A spherical variogram was selected, with a mean value of  $-2.53 \log_{10} (\text{m/s})$  and a sill value of  $0.1 \log_{10} (\text{m}^2/\text{s}^2)$ . The semivariogram range was set to 150 m, which is approximately 10% of the horizontal model domain size and which was used in absence of better information on the range parameter. The sequential Gaussian simulations were conditioned to two  $K_{aq}$  values of  $-2.42 \log_{10} (\text{m/s})$  and  $-2.76 \log_{10} (\text{m/s})$ , which are obtained from pumping tests (Würsten, 1991).

For the riverbed, random  $K_{rb}$  fields were generated in a similar way. Table 1 provides the corresponding geostatistical parameters for the variogram. A mean value of  $-4.55 \log_{10} (\text{m/s})$  was used to generate the random  $K_{rb}$  fields, which is approximately 2 magnitudes lower than the  $K_{aq}$  values. The semivariogram range was set to 150 m, and a sill value of  $0.3 \log_{10} (\text{m}^2/\text{s}^2)$  was used. The generation of the random  $K_{rb}$  fields was unconditional as no measurement data were available for the riverbed.

## 4. Numerical Experiments With EnKF-HGS

### 4.1. Overview

Simulation experiments were carried out using the numerical model described in section 3.2. DA was performed using measurement data from the year 2014, and measurements from the year 2015 were used as a verification data set. As the major flood event happened on 24 July 2014, for the simulations from 25 July 2014 onward two models with different riverbed topography were used: one model with the pre-flood riverbed topography and one with the post-flood riverbed topography.

Multiple DA scenarios were tested: To systematically investigate the influence of riverbed topography on the inverse estimation of  $K_{rb}$  and  $K_{aq}$  as well as the prediction of hydraulic heads and exchange fluxes, the DA

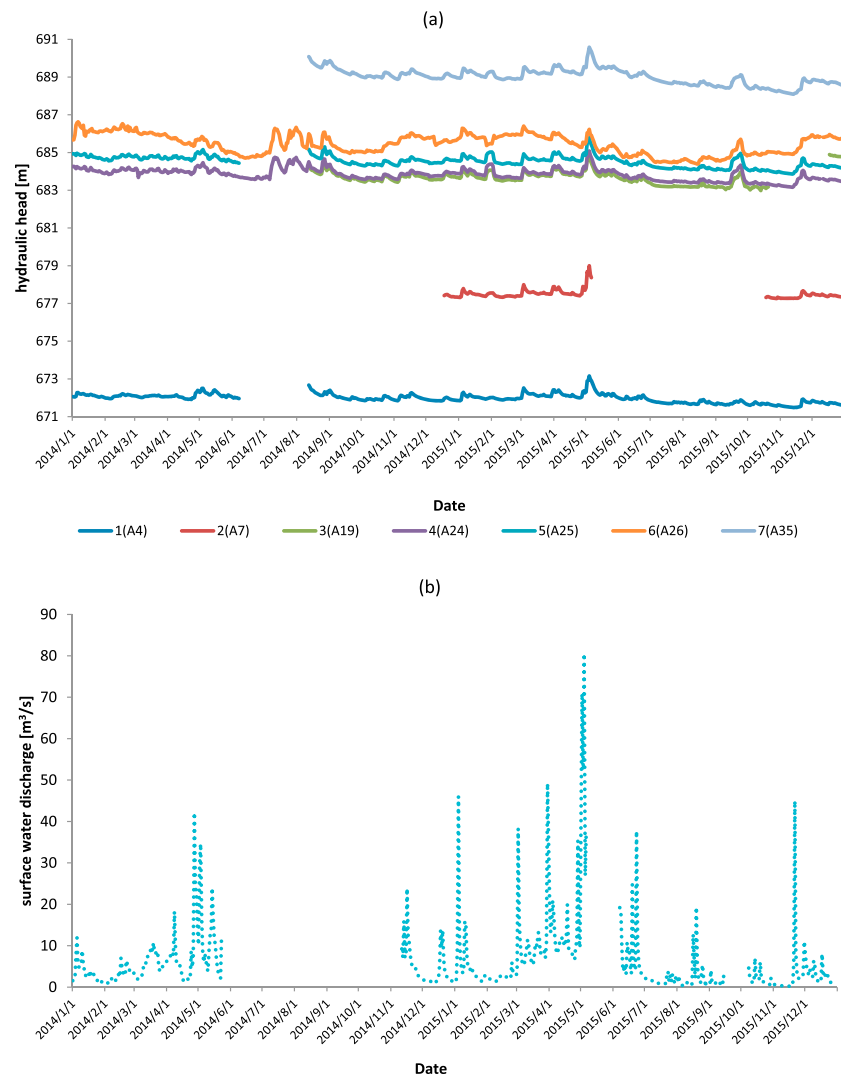
**Table 1**  
Variogram Parameters Used for Generating the  $K_{aq}$  and  $K_{rb}$  Fields

$K$	Type	Mean ( $\log_{10}$ m/s)	Nugget	Range (m)	Sill ( $\log_{10}$ m/s)
$K_{aq}$	Spherical	-2.53	0	150	0.1
$K_{rb}$	Spherical	-4.55	0	150	0.3

experiments were organized according to 10 different scenarios, divided into two groups. In the first group, changes in riverbed topography induced by the flood event were considered, whereas in the second group these changes were not considered. The different simulation experiments in both groups are described in detail in section 4.4.

**4.2. Observation Data Set**

Hydraulic heads within the model domain were measured at seven observation points (see Figure 1): A4, A7, A19, A24, A25, A26, and A35. The SW measurement station SW1 is located on top of a weir directly on the downstream model boundary and measures the SW discharge leaving the study site. Figure 4 displays the measured hydraulic heads and the SW discharge for the years 2014 and 2015. Measurements from A24, A25, and A26 are available for the entire 2-year simulation period, while for the locations A4, A7, A19, and A35 some gaps exist. The hydraulic head observations of the year 2014 are used for the DA experiments, and the hydraulic head observations of the year 2015 are used as a validation data set. For DA experiments, the measurement error was set to 5 cm for five of the measurement locations (A4, A7, A19, A25, and A35),



**Figure 4.** Measurements of (a) hydraulic head and (b) surface water discharge for the 2-year simulation period 2014 and 2015.

and 20 cm for two other locations (A24 and A26). Relatively high measurement errors were used for the latter two observation locations due to the fact that these piezometers are historic locations, which are not well serviced anymore. The SW discharge measurements of the year 2015 are also used to evaluate the model performance.

### 4.3. Model Spin-Up

Prior to the DA, spin-up simulations were carried out in order to obtain suitable initial conditions: In a first spin-up step, a steady state simulation with one randomly chosen realization of the stochastic  $K$  fields was run using boundary conditions corresponding to the annual average values of 2013. In a second spin-up step, individual exit-spin-up simulations for all 128 stochastic realizations of  $K$  fields were run for 20 days. Results from the steady state spin-up run were used as initial conditions for these exit-spin-up simulations, and transient boundary conditions of the first 20 days of January 2014 were imposed. The exit-spin-up runs produced an adequate initial ensemble spread adapted to the multiple stochastic  $K$  fields for the subsequent DA experiments.

### 4.4. Data Assimilation and Verification Experiments

DA experiments were carried out by assimilation of the hydraulic head observations, updating both the hydraulic heads and hydraulic conductivities. Due to the riverbed-changing flood event, the assimilation year 2014 was divided into two periods: a *preflood period* from 1 January 2014 until 24 July 2014, the day the flood occurred, and a *postflood period* from 25 July 2014 until 31 December 2014. As outlined in section 4.1, the DA scenarios were divided into two groups, the difference being whether UAV-based riverbed topography observations were incorporated or not. In Group 1 the preflood riverbed was used and the riverbed topography remained unchanged before and after the flood event (denoted by *\_old*), while in Group 2 a new riverbed corresponding to the measured postflood topography was used for the postflood period (denoted by *\_new*). As outlined in section 4.1, each of the two groups consisted of five different simulation scenarios. The differences between these scenarios were the assimilation of piezometric head data (yes or no), and the period during which these observations were assimilated (only before the flood, or also after the flood event). The different DA scenarios can be described as follows:

1. An OL scenario without assimilation and update, denoted by *OL*.
2. A scenario where hydraulic heads,  $K_{aq}$  and  $K_{rb}$ , were updated during the preflood period, but without update during the postflood period, denoted by *DA\_OL*.
3. A scenario where hydraulic heads,  $K_{aq}$  and  $K_{rb}$ , were updated during the preflood period, but during the postflood period only hydraulic heads and  $K_{rb}$  were updated, denoted by *DA\_hKr*.
4. A scenario with the same preflood updates as for scenarios 2 and 3 but where during the postflood period only hydraulic heads and  $K_{aq}$  were updated, denoted by *DA\_hKa*.
5. A scenario where hydraulic heads,  $K_{aq}$  and  $K_{rb}$ , were updated both during the preflood period and the postflood period of the DA year 2014, denoted by *DA\_hKrKa*.

An overview of all the simulation scenarios is provided in Table 2.

To eliminate any potential effects of initial conditions during the performance evaluation, pure verification experiments were carried out using measurements of the year 2015. For each of the different simulation scenarios, the same riverbed topography as used during the postflood period in 2014 was also used for the verification period. The simulated hydraulic heads and the calibrated  $K$  fields of the last time step of the assimilation period (i.e., end of 31 December 2014) were taken as initial conditions and parameter fields for the verification period. During the verification period, no DA or update of states or parameters was carried out.

For each of the simulation scenarios 128 stochastic realizations were used. Each realization used one processor, so that in total 128 processors in parallel were used. The 10 simulation scenarios, which were run over a period of 2 years, needed 430,080 core compute hours on the supercomputer JURECA (Krause & Thörnig, 2016) at Forschungszentrum Jülich.

### 4.5. Performance Measures

The root-mean-square error (RMSE) was used to evaluate the model performance by comparing simulated and measured hydraulic heads and SW discharge for the verification period. The RMSE for the simulated

**Table 2**  
Simulation Scenarios With Different Riverbed Topography (RBT), Updating Variables, and for Different Time Periods

Simulation scenarios	Before flood (2014.1.1–2014.7.24)				After flood (2014.7.25–2014-12-31)				Verification year 2015 RBT
	RBT	Update h	Update $K_{rb}$	Update $K_{aq}$	RBT	Update h	Update $K_{rb}$	Update $K_{aq}$	
OL_old	old	N	N	N	old	N	N	N	old
OL_new	old	N	N	N	new	N	N	N	new
DA_OL_old	old	Y	Y	Y	old	N	N	N	old
DA_OL_new	old	Y	Y	Y	new	N	N	N	new
DA_hKr_old	old	Y	Y	Y	old	Y	Y	N	old
DA_hKr_new	old	Y	Y	Y	new	Y	Y	N	new
DA_hKa_old	old	Y	Y	Y	old	Y	N	Y	old
DA_hKa_new	old	Y	Y	Y	new	Y	N	Y	new
DA_hKrKa_old	old	Y	Y	Y	old	Y	Y	Y	old
DA_hKrKa_new	old	Y	Y	Y	new	Y	Y	Y	new

hydraulic heads was calculated by comparing the ensemble mean value of the simulated heads to the measured hydraulic heads, averaged over all time steps and all observation locations:

$$RMSE(h) = \sqrt{\frac{1}{n_t n_{nodes\_obs}} \sum_{i=1}^{n_t} \sum_{j=1}^{n_{nodes\_obs}} (\bar{h}_{ij}^f - h_{ij}^{obs})^2} \quad (12)$$

where  $h$  is the hydraulic head,  $n_t$  is the number of simulation time steps,  $n_{nodes\_obs}$  is the total number of the observation locations that differs for different simulation periods, the overbar indicates ensemble average, the superscript  $f$  indicates simulations, and the superscript  $obs$  is the observations. In our case,  $n_t$  equals 365 and  $n_{nodes\_obs}$  is 7.

The RMSE for the SW discharge is calculated by comparing the ensemble mean value of the simulated flow rate at the outlet with the measurement value, averaged over all time steps:

$$RMSE(Q) = \sqrt{\frac{1}{n_t} \sum_{i=1}^{n_t} (\bar{Q}_i^f - Q_i^{obs})^2} \quad (13)$$

where  $Q$  is the SW discharge.

Temporal evolution of RMSE for the simulated hydraulic heads and SW discharge is also calculated by

$$RMSE(h, t) = \sqrt{\frac{1}{n_{nodes\_obs}} \sum_{j=1}^{n_{nodes\_obs}} (\bar{h}_{j,t}^f - h_{j,t}^{obs})^2} \quad (14)$$

$$RMSE(Q, t) = |\bar{Q}_t^f - Q_t^{obs}| \quad (15)$$

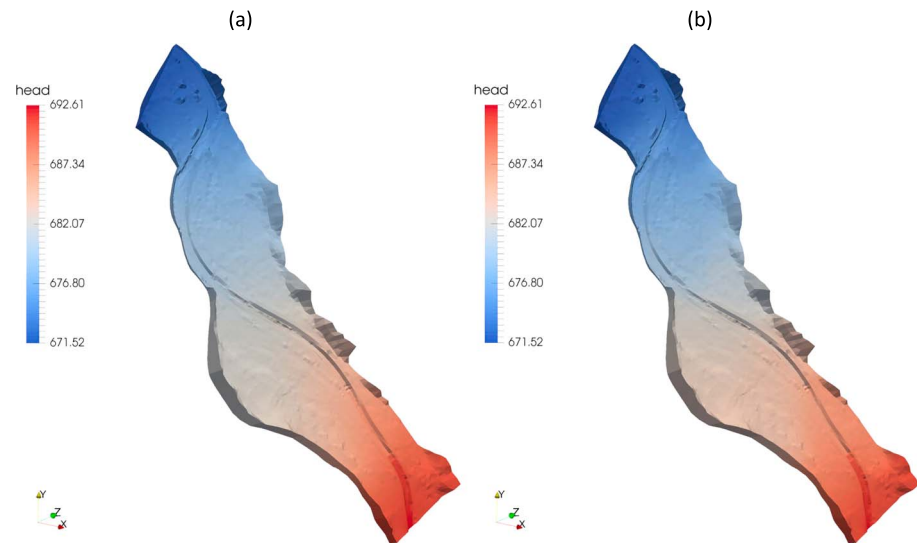
## 5. Results and Discussion

### 5.1. Reproduction of Heads

In Figure 5, hydraulic head maps for scenario OL\_old and DA\_hKrKa\_new are provided as examples. Shown is the first day of the verification year 2015.

In Figure 6 examples of simulated against observed hydraulic heads are provided for the OL scenario OL\_old and the DA scenario DA\_hKrKa\_new for the verification year 2015 at observation location A4. The plots show that at this observation location the OL simulations are associated with a bias, which is removed in the DA scenario where both  $K_{rb}$  and  $K_{aq}$  are updated.

To evaluate the performance of simulated heads over all observation locations, the scores of the RMSE( $h$ ) for different simulation scenarios are provided in Table 3. In the verification period, the RMSE( $h$ ) for the OL simulation with the preflood riverbed topography (scenario OL\_old) is 0.766 m and with the

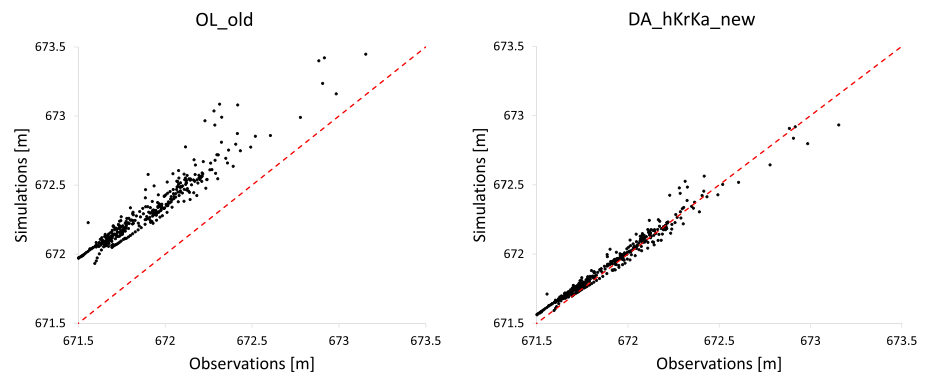


**Figure 5.** Groundwater head maps for scenario (a) OL\_old and (b) DA\_hKrKa\_new for the first day of the verification year 2015.

postflood riverbed topography (scenario OL\_new) 0.579 m. The  $RMSE(h)$  was therefore reduced by 24% through the incorporation of the post flood riverbed topography. This indicates that adapting the riverbed topography after the flood event has a positive influence on the estimation of hydraulic heads and that the UAV-based observation and subsequent implementation of the postflood riverbed topography in the hydrological flow model lead to a better reproduction of hydraulic heads, if no calibration of the parameter fields is made.

If in the verification period the preflood riverbed topography is used, and if hydraulic heads,  $K_{aq}$  and  $K_{rb}$ , were only updated in the preflood period (scenario DA\_OL\_old) the  $RMSE(h)$  is 0.659 m, which is 13.4% lower than its OL counterpart (scenario OL\_old). This demonstrates that DA can improve the simulation of hydraulic heads through parameter updating. However, the improvement is less compared to the equivalent scenario, which used the postflood riverbed topography (scenario OL\_new). This again indicates that the implementation of UAV-based observations of riverbed topography modification improves the simulation of river-aquifer systems.

If, for the model with the preflood riverbed topography, states and parameters are also updated in the postflood period (scenario DA\_hKrKa\_old), the  $RMSE(h)$  is reduced by 51.7% ( $RMSE[h] = 0.370$  m) compared to the OL with the preflood riverbed topography. Interestingly, this is only slightly less performant



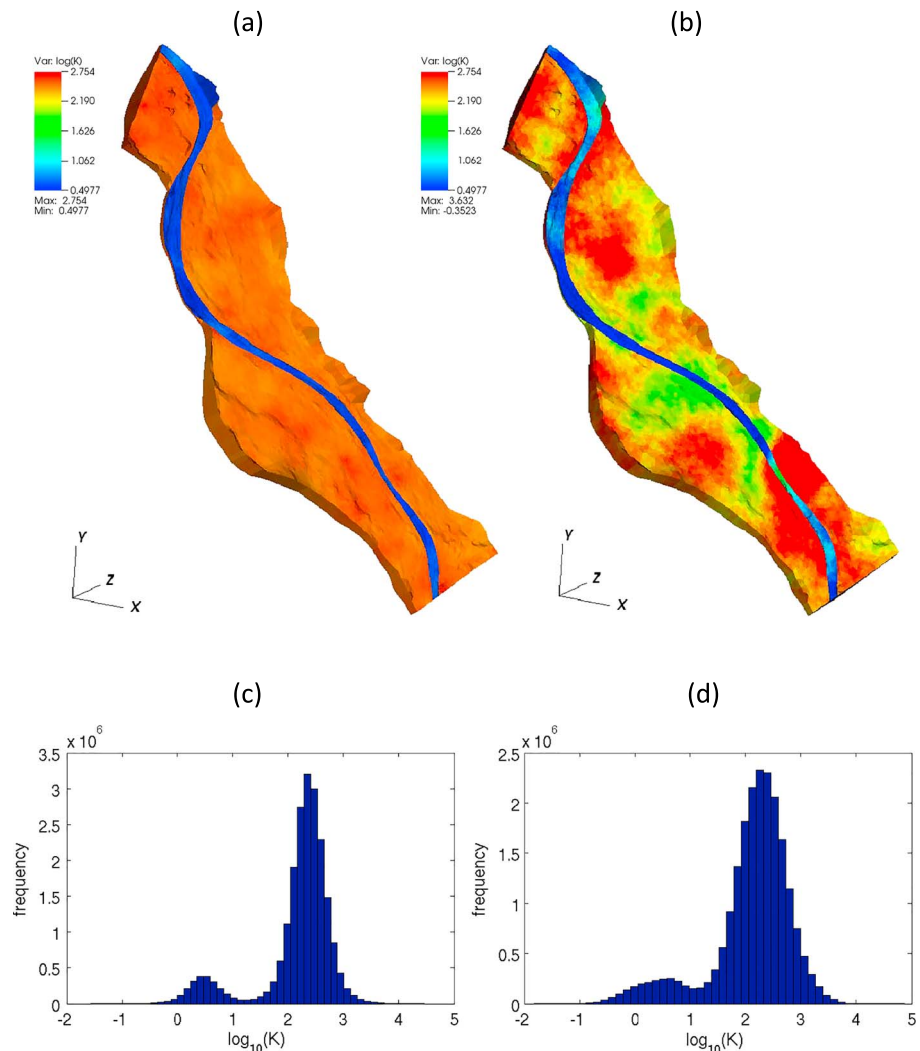
**Figure 6.** 1:1 Plot of simulated versus observed hydraulic heads for the open loop scenario OL\_old and the DA scenario DA\_hKrKa\_new. Results are shown for the observation point A4 and the year 2015.

**Table 3**  
RMSE of Simulated Heads and Surface Water Discharge for Different Simulation Scenarios for the Verification Period

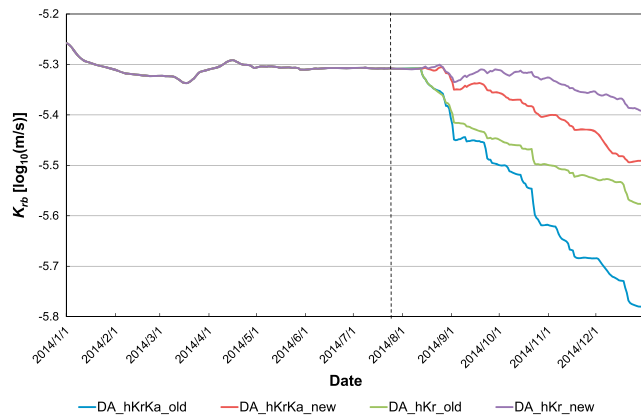
Simulation scenarios	RMSE ( $h$ ) (m)	RMSE ( $Q$ ) ( $m^3/s$ )
OL_old	0.766	4.17
OL_new	0.579	4.15
DA_OL_old	0.659	4.14
DA_OL_new	0.581	4.11
DA_hKr_old	0.449	4.06
DA_hKr_new	0.630	4.13
DA_hKa_old	0.513	4.09
DA_hKa_new	0.357	4.23
DA_hKrKa_old	0.370	4.04
DA_hKrKa_new	0.348	4.18

than the equivalent scenario but using the postflood riverbed topography (scenario DA\_hKrKa\_new) (RMSE [ $h$ ] = 0.348 m). This result suggests that if a major flood event changes the riverbed topography, but no direct observations of these changes are available, DA of hydraulic heads, in which both states as well as  $K_{rb}$  and  $K_{aq}$  are simultaneously updated, can mostly compensate for the missing riverbed topography observations, at least in terms of the reproduction of hydraulic heads. If riverbed topography changes are not accounted for, a quite similar impact on the hydraulic heads can be simulated by changing  $K_{rb}$  and/or  $K_{aq}$ . For example, in case of sedimentation processes, which are not accounted for, a quite similar impact on hydraulic heads can be obtained by decreasing  $K_{rb}$  and possibly also the model layers below.

If the postflood riverbed topography is used after the flood, but hydraulic heads as well as  $K_{aq}$  and  $K_{rb}$  are only updated in the preflood period (scenario DA\_OL\_new), the RMSE ( $h$ ) is 0.581 m. This performance is similar to the OL counterpart (scenario OL\_new) (RMSE [ $h$ ] = 0.579 m). This poor performance is probably due to the fact that the  $K_{rb}$  fields are



**Figure 7.** The ensemble mean of  $K_{aq}$  and  $K_{rb}$  Fields (a) for all simulation scenarios at the initial time step ( $t = 01.01.2014$ ) and (b) for scenarios where data assimilation is done in the preflood period at the final time step of the preflood period ( $t = 24.07.2014$ ) after data assimilation. (c and d) Corresponding histograms are provided. (Unit: m/day).



**Figure 8.** Temporal evolution of average  $K_{rb}$  for different simulation scenarios for the assimilation year 2014. Shown are four scenarios where different variables are updated in the postflood period: scenario with update of heads,  $K_{rb}$  and  $K_{aq}$ , using the old riverbed topography (blue line, DA\_hKrKa\_old); scenario with update of heads,  $K_{rb}$  and  $K_{aq}$ , using the new riverbed topography (red line, DA\_hKrKa\_new); scenario with update of heads and  $K_{rb}$  using the old riverbed topography (green line, DA\_hKr\_old); and scenario with update of heads and  $K_{rb}$  using the new riverbed topography (purple line, DA\_hKr\_new). The flood event was marked in dash, black line.

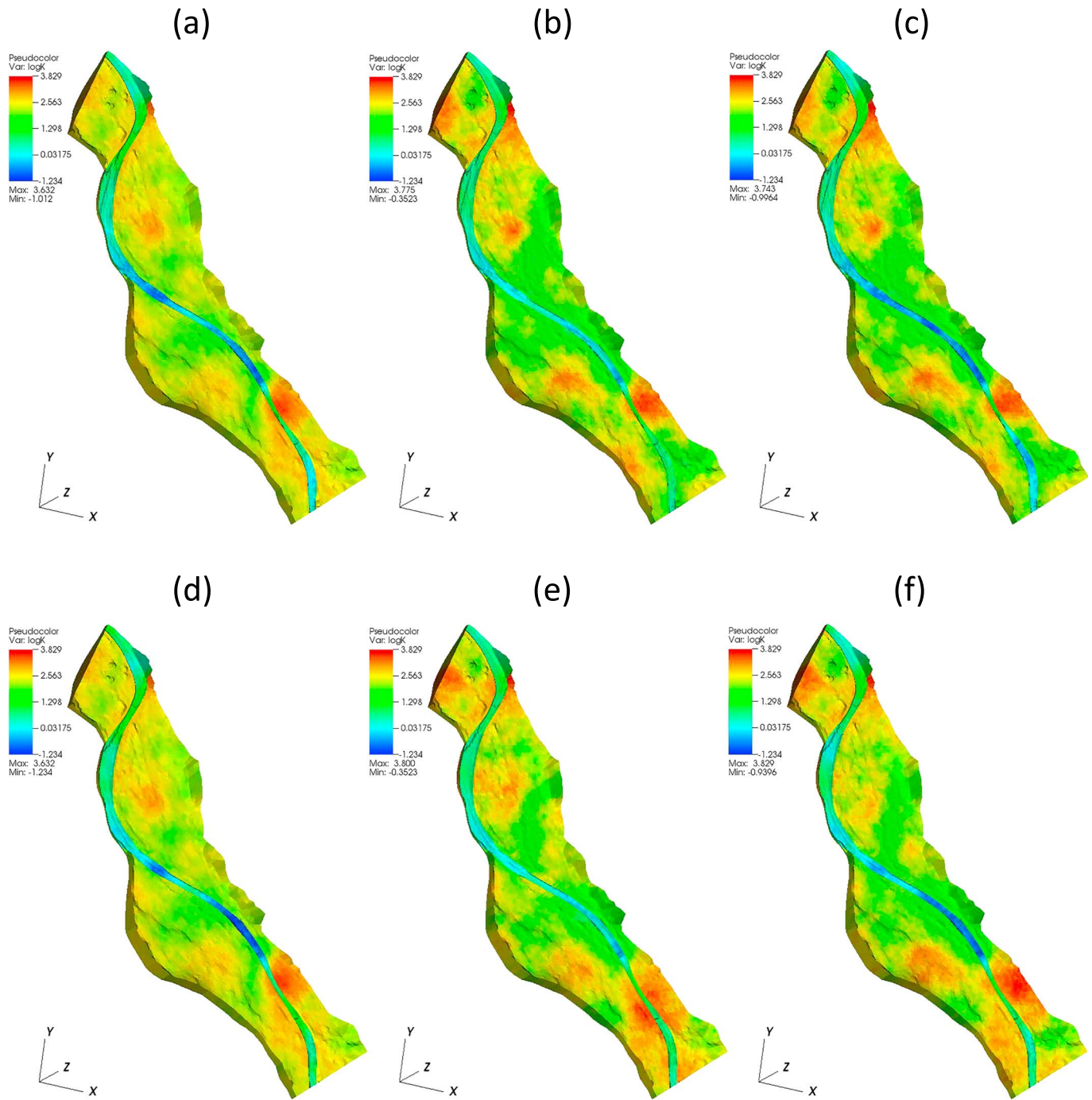
updating only one of the two  $K$  fields to scenarios DA\_hKr\_old, DA\_hKr\_new, DA\_hKa\_old, and DA\_hKa\_new. Comparison of RMSE ( $h$ ) reveals that both for the preflood or postflood riverbed topography, updating only  $K_{aq}$  or only  $K_{rb}$  results in a poorer performance than updating both. With the preflood topography, the RMSE ( $h$ ) for the scenario with update of only  $K_{rb}$  is 0.449 m, and with update of only  $K_{aq}$  0.513 m. These values are 21.3% and 38.6% higher than the scenario with update of both  $K_{aq}$  and  $K_{rb}$  (RMSE [ $h$ ] = 0.370 m).

The updating of  $K_{rb}$  and riverbed topography after the flood event resulted in a better characterization of hydraulic heads in the verification period for all simulation scenarios except for one: Updating of  $K_{aq}$  in the postflood period resulted in improved RMSE ( $h$ ) of 0.357 m for the scenario where only  $K_{aq}$  was updated, and in 0.348 m where  $K_{aq}$  was updated together with  $K_{rb}$ . However, when the postflood riverbed topography was used for the postflood period, the RMSE ( $h$ ) for the scenario where only  $K_{rb}$  was updated was 0.63 m (DA\_hKr\_new), which is slightly worse compared to the scenarios where only the riverbed topography was updated in the postflood period (OL\_new and DA\_OL\_new). The poor performance of DA\_hKr\_new suggests that major flood events such as the one studied not only have a strong impact on the riverbed but even on the underlying aquifer sediments. Therefore, when topographic changes are taken into account in the flow simulations, resulting in considerable changes in topography, which are so large that locally the complete former riverbed is removed, optimal reproduction of postflood hydraulic heads requires not only  $K_{rb}$  to be updated but also  $K_{aq}$ . The importance of updating  $K_{aq}$  in the postflood period, if the observed, riverbed topography information is considered, can be again proved by comparing with scenario DA\_hKa\_new (RMSE [ $h$ ] = 0.357 m) where only  $K_{aq}$  is updated and scenario DA\_hKrKa\_new (RMSE [ $h$ ] = 0.348 m) where  $K_{aq}$  is updated together with  $K_{rb}$ . Nevertheless, in case of a lack of information on riverbed topography modification and if  $K_{aq}$  has been estimated reasonably well prior to a major flood event, updating  $K_{rb}$  after the flood event is more important than continuing to update  $K_{aq}$ . Moreover, the results indicate that this DA strategy can partly compensate for a lack of information on flood event induced changes to the riverbed topography. The results do, however, show that updating of  $K_{aq}$  is a first-order control for the reproduction of hydraulic heads and that a good estimation of  $K_{aq}$  coupled with the incorporation of observations of riverbed topography changes into the flow model might be more performant than only updating of  $K_{rb}$  and riverbed topography alone. In other words, a good estimation of aquifer properties cannot be compensated by observations and estimations of riverbed properties alone.

estimated based on the preflood riverbed topography, which is not in correspondence with the postflood riverbed topography. Nevertheless, the RMSE ( $h$ ) is still 11.6% lower than the scenario where the riverbed topography was not updated after the flood (scenario DA\_OL\_old). This again highlights the benefit of updating riverbed topography for the estimation of hydraulic heads.

If all available information is used and all states and parameters are updated, that is, if the postflood riverbed topography is used and hydraulic heads,  $K_{rb}$  and  $K_{aq}$  are updated after the flood (scenario DA\_hKrKa\_new), the RMSE( $h$ ) is reduced to 0.348 m. Unsurprisingly, this scenario performs best among all the scenarios. It is 39.9% lower than the equivalent OL run OL\_new and 40.1% lower than the scenario with updating only during the preflood period. The reduction is less when compared to the scenario with the preflood riverbed topography (scenario DA\_hKrKa\_old, reduction 51.7%), because the postflood riverbed topography already provides important information on the riverbed, and estimation of the  $K$  field is therefore less important than for the scenario where no information on the postflood riverbed topography is available.

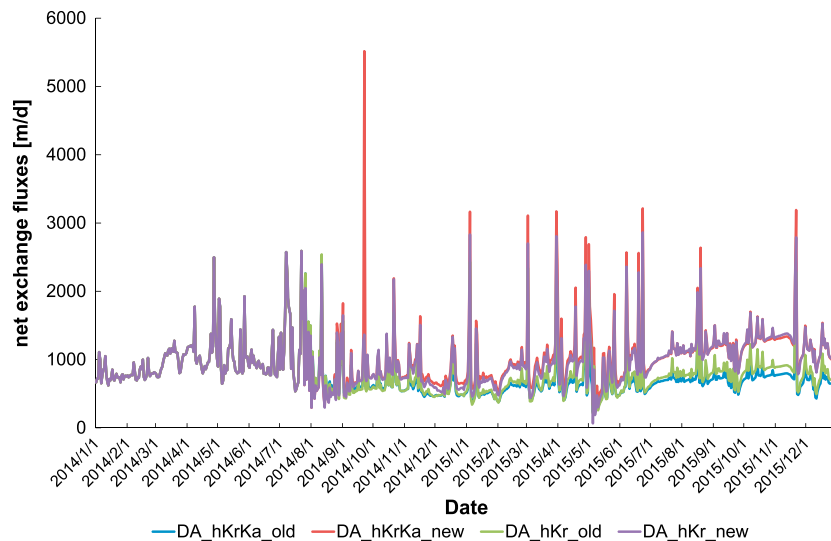
That information on riverbed topography is important that can be further seen by comparing scenarios where both  $K_{aq}$  and  $K_{rb}$  are updated to scenarios where only one of the two  $K$  fields is updated. Updating both  $K$  fields corresponds to scenarios DA\_hKrKa\_old and DA\_hKrKa\_new, while



**Figure 9.** The final updated  $K$  fields at the end of the postflood period ( $t = 2014.12.31$ ) for different simulation scenarios: (a) scenario DA\_hKr\_old, (b) scenario DA\_hKa\_old, (c) scenario DA\_hKrKa\_old, (d) scenario DA\_hKr\_new, (e) scenario DA\_hKa\_new, and (f) scenario DA\_hKrKa\_new. Scenarios using the pre-flood topography are displayed in the upper row, while scenarios using the postflood topography are displayed in the bottom row.

### 5.2. Evolution of $K_{aq}$ and $K_{rb}$

Figure 7 shows the ensemble mean  $K_{aq}$  and  $K_{rb}$  fields at the initial ( $t = 01.01.2014$ ) and the final time step ( $t = 24.07.2014$ ) of the preflood period. The initial fields are the same for all simulation scenarios, and the shown final fields are only for scenarios with DA in the preflood period. The  $\log_{10}(K_{aq})$  values are between  $-5.29$  and  $-1.30 \log_{10}(\text{m/s})$  for the final updated field and between  $-4.44$  and  $-2.19 \log_{10}(\text{m/s})$  for the



**Figure 10.** Temporal evolution of net exchange fluxes between the surface and subsurface domain. Shown is the mean value calculated over 128 realizations. Positive values indicate infiltration from the surface water into the subsurface.

initial field. The final updated ensemble mean  $K_{aq}$  and  $K_{rb}$  fields show a larger spatial variability than the prior ensemble mean fields. As no measurement data are available for  $K_{rb}$ , and the only two  $K_{aq}$  measurements are used for generating the initial  $K_{aq}$  and  $K_{rb}$  fields, it is not possible to directly evaluate if the updated  $K_{aq}$  and  $K_{rb}$  fields are closer to the true values. However, these updated  $K_{aq}$  and  $K_{rb}$  fields improved the characterization of heads by 29% in the pre-flood period.

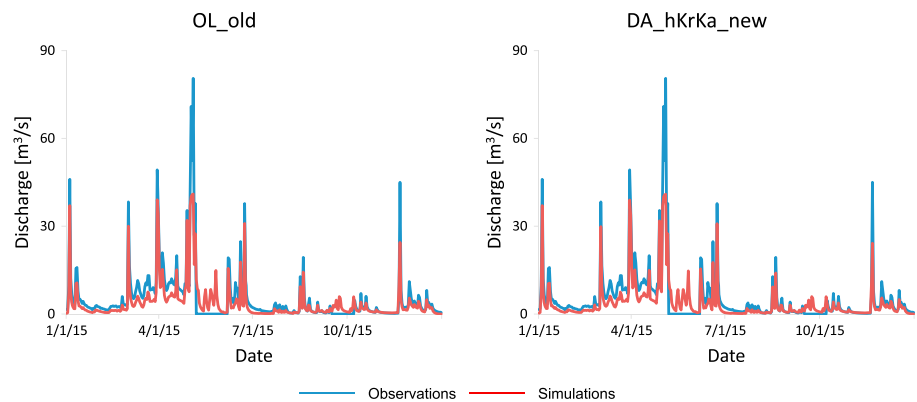
The temporal evolution of  $K_{rb}$  averaged over all the riverbed elements is displayed for different simulation scenarios in Figure 8. In the pre-flood period these four scenarios are exactly the same, and the following results focus on the post-flood period: The estimated  $K_{rb}$  in the post-flood period is lower for scenarios with the pre-flood riverbed topography than for the scenarios with the post-flood one, which highlights the fact that the  $K_{rb}$  does not need to be altered that much for a good reproduction of hydraulic heads if the riverbed topography is also updated. Moreover, whether the old or the new riverbed topography is used, the reduction of  $K_{rb}$  through DA is larger for scenarios where both  $K_{aq}$  and  $K_{rb}$  are updated than for scenarios where only  $K_{rb}$  is updated.

Figure 9 shows the ensemble mean  $K_{aq}$  and  $K_{rb}$  fields at the end of the post-flood period ( $t = 31.12.2014$ ) for scenarios with DA also during the post-flood period. Scenarios with the post-flood riverbed topography show slightly higher and smoother  $K_{aq}$  and  $K_{rb}$  values than scenarios with the pre-flood riverbed topography, which is also consistent with the analysis above and the head results in section 5.1.

### 5.3. Simulation of Exchange Fluxes and Surface Water Discharge (Q)

Figure 10 displays the temporal evolution of exchange fluxes between surface and sub-SW. In the pre-flood period all plotted scenarios give the same results as there are no differences between the simulations. Differences among the scenarios are minor in the post-flood period but become larger in the second half of 2015. Differences are particularly large between scenarios with the pre-flood and scenarios with the post-flood riverbed topography, which is consistent with the reduction of  $K_{rb}$  (see Figure 6). The lower the  $K_{rb}$  is, the less infiltration is observed.

Hydrographs showing simulated versus observed river discharge are provided in Figure 11 for the OL scenario OL\_old and the DA scenario DA\_hKrKa\_new. The largest mismatch between simulated and observed peaks is  $40 \text{ m}^3/\text{s}$  during the extreme peak flood. The performance of the different simulation scenarios in terms of the reproduction of SW discharge is summarized in Table 3. The difference of  $\text{RMSE}(Q)$  between the two OL runs with different riverbed topographies is  $0.02 \text{ m}^3/\text{day}$ . This indicates that an update of the riverbed topography also slightly improves the representation of SW discharge,



**Figure 11.** Hydrographs of simulated versus observed river discharge for the scenarios OL\_old and DA\_hKrKa\_new.

but the impact is very small. If the preflood riverbed topography is used and hydraulic heads,  $K_{aq}$  and  $K_{rb}$  are updated only in the preflood period (scenario DA\_OL\_old), the RMSE(Q) is  $4.14 \text{ m}^3/\text{day}$ , which is only  $0.03 \text{ m}^3/\text{day}$  lower than for the OL counterpart OL\_old. However, if all of these variables are continuously updated by EnKF in the postflood period (scenario DA\_hKrKa\_old), the RMSE(Q) decreases to  $4.04 \text{ m}^3/\text{day}$ . This shows that without information on the postflood riverbed topography, DA based on EnKF can nonetheless improve the characterization of SW discharge via updating of parameters and hydraulic heads. Altogether, scenarios where both  $K_{aq}$  and  $K_{rb}$  are updated (scenario DA\_hKrKa\_old) resulted in the smallest RMSE(Q). This is consistent with the results for hydraulic heads discussed in section 5.1.

On the other hand, if the postflood riverbed topography is included in the simulations, the RMSE(Q) for the scenario where hydraulic heads,  $K_{aq}$  and  $K_{rb}$ , are updated in the preflood period (scenario DA\_OL\_new) is  $0.04 \text{ m}^3/\text{day}$  lower than for the OL counterpart (scenario OL\_new). However, DA in the postflood period did not improve SW discharge characterization but deteriorated it in most cases results. Only the scenario with update of  $K_{rb}$  (scenario DA\_hKr\_new) provided slightly better results than the corresponding OL run (scenario OL\_new). The temporal evolution of simulated SW discharge against the measurement (not displayed) also indicates that differences between the different simulation scenarios are small, and differences with the measurements are mostly small except for periods with large discharge rates, for example, in May 2015. Altogether, updating riverbed topography and updating  $K_{rb}$  improve SW discharge estimation, but if riverbed topography and  $K_{rb}$  are already updated, the additional updating of  $K_{aq}$  deteriorates results instead of improving them. This illustrates that updating the riverbed topography and  $K_{rb}$  has a small impact on the SW discharge, although the impact on the simulation of hydraulic heads and exchange fluxes is strong. This is mainly because the total exchange flux is much smaller than the total amount of the SW discharge.

## 6. Conclusions

In this study, we investigated the spatial and temporal variation of the  $K_{rb}$  and topography of the Emme river in Switzerland, induced by a 300-year flood event, with a combination of state-of-the-art UAV-based observations, integrated hydrological flow simulations and DA. We investigated the influence of the spatial and temporal changes in the riverbed topography and riverbed properties induced by the flood event on the simulation of hydraulic heads, SW discharge, and river-aquifer exchange fluxes using the physically based, fully integrated hydrological flow model HGS. Both riverbed topography data from before and after the flood event were used as input for the hydrological flow model. DA experiments were performed with the EnKF for the preflood and postflood periods, either under consideration of the changes of riverbed topography, or while ignoring them. Heterogeneous multi-Gaussian distributed  $K_{aq}$  and  $K_{rb}$  fields were used as the initial parameter fields and later, together with hydraulic heads, updated by EnKF. Verification experiments of the postflood year (2015) were used for evaluating the performance

of the different parameter/states/topography updating schemes. The following conclusions can be drawn from our experiments:

1. Changes in riverbed topography have a significant influence on the prediction of hydraulic heads and river-aquifer exchange fluxes and a minor influence on the prediction of SW discharge. Incorporation of information on the modified riverbed topography obtained via unmanned-aerial-vehicle-based through-water photogrammetry improves the simulation of hydraulic heads.
2. The estimation of  $K_{aq}$  and  $K_{rb}$  with help of DA allows a better estimation of hydraulic heads and a slightly better estimation of SW discharge, even if DA is only carried out before the flood event. The parameter estimation is especially important, if changes in riverbed topography are not taken into account in the postflood simulations, because it allows compensating for this lack of information.
3. Both observations of the changes in riverbed topography and of hydraulic heads provide useful information for the simulation of river-aquifer systems. Without the riverbed topography information, estimation of both  $K_{rb}$  and  $K_{aq}$  is important. However, if  $K_{aq}$  could be estimated reasonably well prior to a flood event, and information on the transient changes of riverbed topography are available, a reestimation of  $K_{rb}$  seems to be less important.

With this study we could demonstrate that the combination of the state-of-the-art techniques of unmanned-aerial-vehicle-based remote sensing, physically based and fully integrated hydrological modeling with HGS, and EnKF-based DA allows substantially improving predictions of hydrological states and fluxes of river-aquifer systems. To the authors' knowledge, this is the first time these techniques were applied in an integrated way to a real-world system, and the combination of these techniques provides a promising new and affordable tool for the prediction of river-aquifer systems. Integrating of more data and other data types is expected to further improve the modeling of river-aquifer systems. These data could include observations of river discharge, soil moisture, and tracer concentrations in the river or near the river which provide (indirect) information on riverbed properties and temperatures in the river, riverbed, and GW. Until now, only very few studies used such diverse observations for DA when modeling river-aquifer interactions (e.g., Kurtz et al., 2014), and the most commonly used data types are river discharge and hydraulic heads (Bailey & Baù, 2010, 2012; Camporese et al., 2009; Pasetto et al., 2012). These papers (except Kurtz et al., 2014) however did not focus specifically on the riverbed and did not update riverbed hydraulic properties.

The practical application of these methods by hydrologic practitioners and water resource managers is possible with help of a small computer cluster, as only forecasts need to be calculated for the next day(s) on an operation level, for example, in the context of well-field management or flood forecasting. This was already shown by Hendricks Franssen et al. (2011). However, parameter estimation with help of historical information requires much larger amounts of compute time and for this cloud computing is an interesting option. Kurtz et al. (2017) already demonstrated the feasibility of a combination of near real-time physically based hydrological modeling, DA and near real-time control in a cloud computing environment. With the now widely available access to cloud computing resources, we feel that the application of these stochastic methods in practice is more hampered by the necessary understanding of stochastic methods and the preparation of large amounts of input information (e.g., random fields) than by the needed computing infrastructure.

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